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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Takamasa Iwaki et al. : Examiner: N/A  
Serial No.: 10/539,870 : Group Art: N/A  
Filed: June 17, 2005 :  
For: Floor Mat and Small Animal Rearing Cage:  
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INFORMATION DISCLOSURE STATEMENT AND PETITION FOR  
CONSIDERATION UNDER 37 CFR § 1.97

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the listed documents are enclosed. These references were cited in a European Search Report (Copy Attached) issued in the corresponding European patent application.

This information is being submitted subsequent to filing and before the mailing of a first office action. Accordingly, pursuant to 37 CFR § 1.97(d), the undersigned submits that no fee is presently due.

Petition under 37 CFR § 1.97(d)

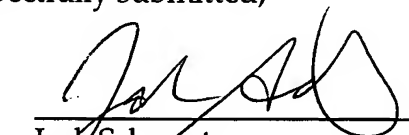
The undersigned hereby petitions that the Examiner consider the information cited in this Statement. As this petition is filed after filing of the application and before mailing of a first office action it is respectfully submitted that no fee is due. However, if a fee is due please charge the fee to Patent and Trademark Office Deposit Account No. 50-2828.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

By:

  
Jack Schwartz  
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Dated: March 16, 2006



## LIST OF REFERENCES CITED BY APPLICANT

Atty Docket No.: 1083-9 Filing Date: June 17, 2005  
Serial No.: 10/539,870 Group Art: N/A  
Applicant: Takamasa Iwaki et al.

### U.S. PATENT DOCUMENTS

| *Ex. Intls. |    | Document No. | Date    | Name          | Class | Subclass | Filing Date |
|-------------|----|--------------|---------|---------------|-------|----------|-------------|
|             | AA | US 4169428 A | 10/2/79 | Waugh         | 119   | 1        | 10/7/77     |
|             | AB | US 5148768 A | 9/22/92 | Hinton et al. | 119   | 19       | 10/18/91    |
|             | AC | US 5939088 A | 8/17/99 | Ito et al.    | 424   | 414      | 11/14/96    |
|             | AD | US 4690100 A | 9/1/87  | Thomas et al. | 119   | 15       | 10/22/85    |
|             | AE |              |         |               |       |          |             |

### FOREIGN PATENT DOCUMENTS

| *Ex. Intls |    | Document No. | Date | Country | Name | Class | Sub-class | Translation Yes/No |
|------------|----|--------------|------|---------|------|-------|-----------|--------------------|
|            | AF |              |      |         |      |       |           |                    |
|            | AG |              |      |         |      |       |           |                    |
|            | AH |              |      |         |      |       |           |                    |
|            | AI |              |      |         |      |       |           |                    |
|            | AJ |              |      |         |      |       |           |                    |

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|--|--|
|  | AK |  |  |
|  | AO |  |  |
|  | AP |  |  |

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent Application No.: 10/539,870

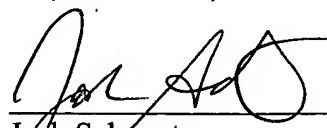


CERTIFICATE OF MAILING

I hereby certify that this amendment is being deposited with the United States Postal Service as Priority Mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioners for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on:

March 16, 2005

Date

  
\_\_\_\_\_  
Jack Schwartz  
Reg. No. 34,721